

Physics at the Terascale meeting 2018

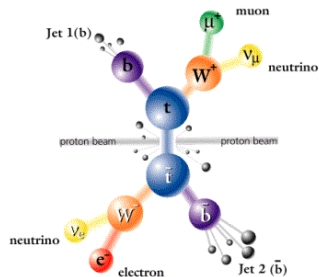
November 27, 2018

Inclusive $t\bar{t}$ cross section and determination of α_S and m_t at 13 TeV in CMS

Matteo Defranchis (DESY)

on behalf of the CMS Collaboration

- CMS measurement of inclusive $\sigma_{t\bar{t}}$ at 13 TeV in di-lepton channels
- extraction of α_S and m_t^{pole} at 7 and 8 TeV
- strategy of 13 TeV analysis
- CMS simultaneous measurement of inclusive $\sigma_{t\bar{t}}$ and m_t^{MC} at 13 TeV
- extraction of α_S and $m_t(m_t)$ from inclusive $\sigma_{t\bar{t}}$

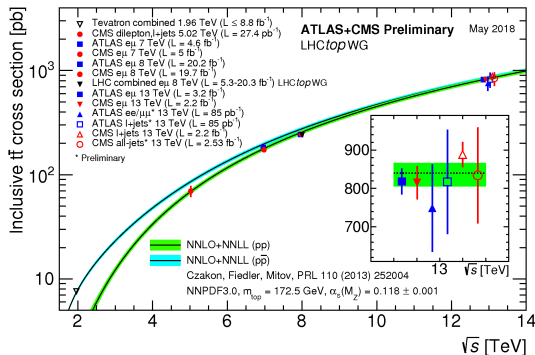


top pair production cross section: motivation

- can be used to constrain **gluon PDF** and extract **QCD parameters** like m_t and α_s
- sensitive to **physics BSM**, e.g. \tilde{t} production (see [talk](#) by Juan Gonzalez)
- main **background** of several searches and measurements

$\simeq 15/s$ $t\bar{t}$ pairs produced at LHC

\Rightarrow unique opportunity to study this process in detail and exploit its potential



- $t\bar{t}$ production is well understood process on a wide range of energy
- first 13 TeV results with 35.9 fb^{-1} (2016) presented in this talk - by CMS Collaboration

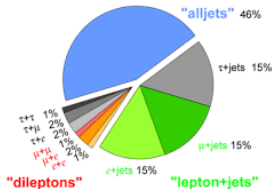
top pair production cross section: general procedure

- measurement is performed in the visible phase space where a **fiducial cross section** $\sigma_{t\bar{t}}^{\text{vis}}$ is measured (systematic uncertainties can be constrained)
- observed $\sigma_{t\bar{t}}^{\text{vis}}$ is extrapolated to full phase space to get **total cross section** $\sigma_{t\bar{t}}$
 → introduces model dependence

$$\sigma_{t\bar{t}}^{\text{vis}} = \frac{N_{\text{data}} - N_{\text{bkg}}}{\epsilon_{\text{sel}} \cdot L_{\text{int}}}$$

$$\sigma_{t\bar{t}} = \frac{\sigma_{t\bar{t}}^{\text{vis}}}{A_{\text{sel}} \cdot \text{BR}}$$

Top Pair Branching Fractions



"golden" decay channels for $\sigma_{t\bar{t}}$ measurement

- di-leptonic channels, in particular $e\mu$
- $l+jets$ channels ($l = e, \mu$)

→ all-hadronic channel penalized by JES, modelling and b-tagging uncertainties

triggers: dilepton OR single lepton

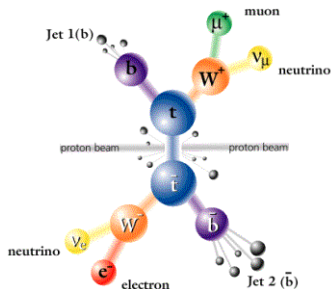
offline selection

- at least two opposite-charge leptons:
 $p_{T1} > 25 \text{ GeV}$, $p_{T2} > 20 \text{ GeV}$
 $|\eta| < 2, 4$, $m_{ll} > 20 \text{ GeV}$
- jets: $p_T > 30 \text{ GeV}$ and $|\eta| < 2.4$
- b-tagging: CSVv2 Tight WP
 (0.1% mis-identification, 40% efficiency)

same flavour channels

- at least one b tagged jet
- Z boson veto in region
 $76 < m_{\ell\ell} < 106 \text{ GeV}$

→ events classified in mutually-exclusive categories according to lepton flavour, b-tag and jet multiplicity



method: **template fit** to distributions of final state observables

- systematic uncertainties treated as **nuisance parameters** and constrained in the visible phase space (with exception of luminosity)
- events categorized in **bins of jet and b-tag multiplicities** in order to constrain modelling uncertainties and b-tagging efficiencies
- jet p_T spectra are used to constrained JEC uncertainties

extrapolation

- result is extrapolated to the full phase space \rightarrow total cross section
- systematic uncertainties on acceptance are not constrained
 \rightarrow *you cannot measure what you do not see*

binned Poisson Likelihood

$$L = \prod_i \exp[-\mu_i] \mu_i^{n_i} / n_i! \cdot \prod_m \pi(\lambda_m)$$

$$\mu_i = s_i(\sigma_{t\bar{t}}^{\text{vis}}, \vec{\lambda}) + \sum_k b_{k,i}^{\text{MC}}(\vec{\lambda})$$

- $\vec{\lambda}$ is a set of nuisance parameters
- $\pi(\lambda_m)$ parametrizes the prior knowledge of m^{th} parameter

b-tagging efficiencies determined *in situ* by exploiting the $t\bar{t}$ topology:

$$s_{1b} = \mathcal{L}\sigma_{t\bar{t}}^{\text{vis}} \epsilon_{\ell\ell} \cdot 2\epsilon_b(1 - C_b\epsilon_b)$$

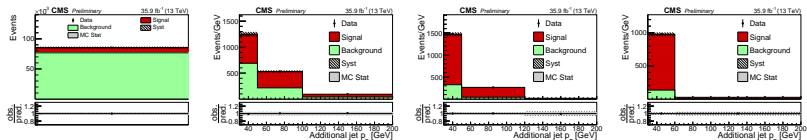
$$s_{2b} = \mathcal{L}\sigma_{t\bar{t}}^{\text{vis}} \epsilon_{\ell\ell} \cdot \epsilon_b^2 C_b$$

$$s_{\text{other}} = \mathcal{L}\sigma_{t\bar{t}}^{\text{vis}} \epsilon_{\ell\ell} \cdot (1 - 2\epsilon_b(1 - C_b\epsilon_b) - C_b\epsilon_b^2)$$

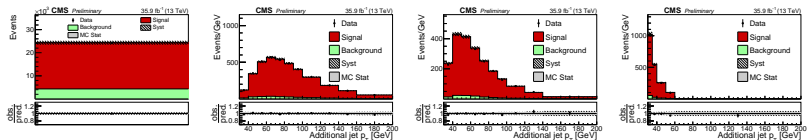
- $\epsilon_{\ell\ell}$ is the efficiency if the di-lepton selection
- ϵ_b is the b-tagging efficiency
- C_b represents the residual correlation of tagging the two b-jets

0 b-tags: 0,1,2,3 additional jets

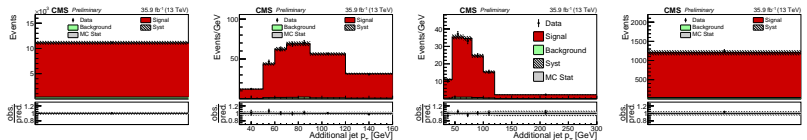
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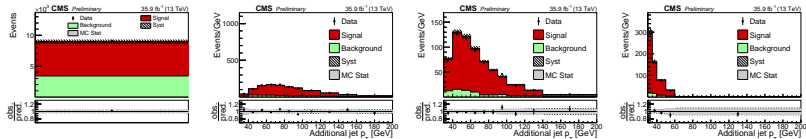
1 b-tag: 0,1,2,3 additional jets



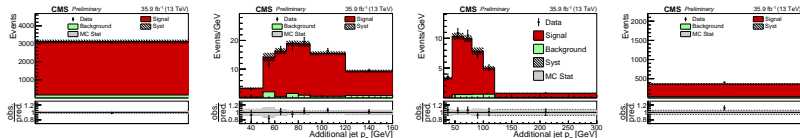
2 b-tags: 0,1,2,3 additional jets



1 b-tag: 0,1,2,3 additional jets

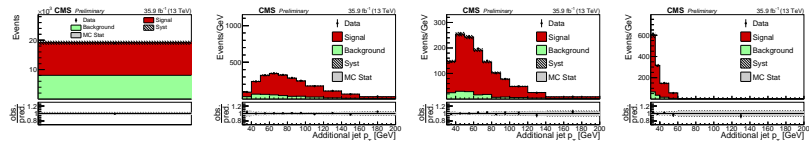


2 b-tags: 0,1,2,3 additional jets

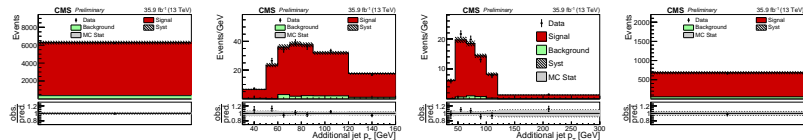


→ at least one b-tagged jet required in same-flavour channels

1 b-tag: 0,1,2,3 additional jets



2 b-tags: 0,1,2,3 additional jets



→ at least one b-tagged jet required in same-flavour channels

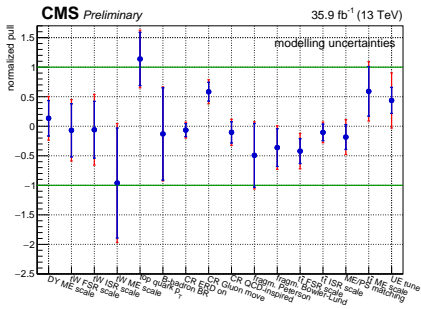
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visible $t\bar{t}$ cross section

$$\sigma_{t\bar{t}}^{\text{vis}} = 25.61 \pm 0.05 \text{ (stat)} \pm 0.75 \text{ (syst)} \pm 0.64 \text{ (lum)} \text{ pb}$$

total $t\bar{t}$ cross section (extrapolated)

$$\sigma_{t\bar{t}} = 803 \pm 2 \text{ (stat)} \pm 25 \text{ (syst)} \pm 20 \text{ (lum)} \text{ pb}$$



Name	Contribution [%]
Trigger	0.3
Lepton ID/isolation	2.0
Electron energy scale	0.1
Muon energy scale	0.1
Jet energy scale	0.4
Jet energy resolution	0.4
b-tagging	0.4
Pile-up	0.1
if ME scale	0.2
tW ME scale	0.2
DY ME scale	0.1
PDF	1.1
top p_T	0.5
ME/PS matching	0.2
UE tune	0.3
if ISR scale	0.4
tW ISR scale	0.1
if FSR scale	0.8
tW FSR scale	0.1
B-fragmentation	0.7
B-hadron BF	0.1
Color reconnection	0.3
DY background	0.9
tW background	1.1
Diboson background	0.2
W+jets background	0.2
if background	0.2
Statistical	0.2
Luminosity	2.5
MC statistical	1.1
Total (vis)	3.8
$\sigma_{t\bar{t}}^{\text{vis}}$ (13 TeV)	25.61 pb
if ME scale (extr)	± 0.3
PDF (extr)	± 0.8
Top p_T (extr)	± 0.6
if ISR scale (extr)	± 0.1
if FSR scale (extr)	± 0.1
UE tune (extr)	± 0.1
Total	± 4.0
$\sigma_{t\bar{t}}$ (13 TeV)	803 pb

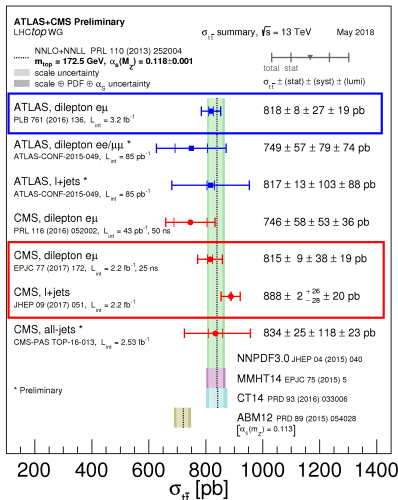
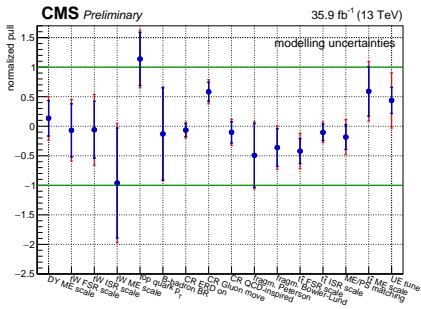
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calculations of $t\bar{t}$ production depend on:

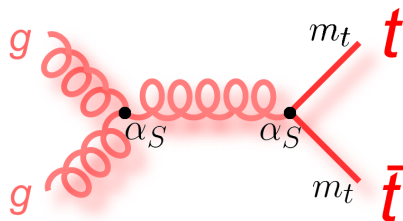
- 1 strong coupling α_S
- 2 top quark mass m_t
- 3 gluon (quark) PDF in the proton

→ measurements of $\sigma_{t\bar{t}}$ can be used to constrain these parameters

strong coupling

- α_S known with sub-percent precision
- significant contribution to uncertainty for several QCD predictions
- can be measured at NNLO from $\sigma_{t\bar{t}}$

→ **NB:** α_S and m_t cannot be determined simultaneously from inclusive $\sigma_{t\bar{t}}$



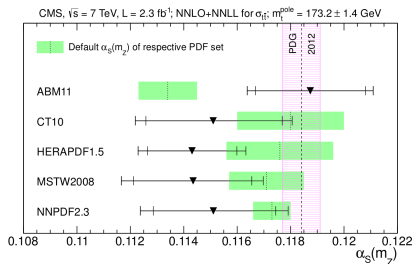
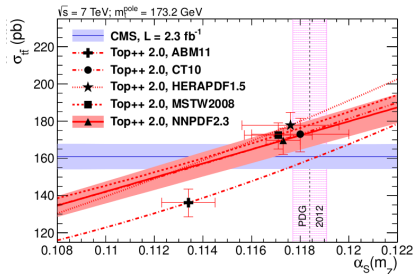
top quark mass

- consistency test of Standard Model
- can be determined in well defined scheme (\overline{MS} , on-shell) from $\sigma_{t\bar{t}}$
- avoid interpretation problems of m_t^{MC}

Phys. Lett. B 728 (2013) 496

- using CMS measurement at 7 TeV in dilepton channel with 2.3 fb^{-1} , 4.1% accuracy (JHEP 11 (2012) 067)
- theory prediction with Top++2.0, NNLO+NNLL precision
- several different PDF sets considered
- $\alpha_S(M_Z)$ varied consistently in calculation and PDF
- experimental dependence of $\sigma_{t\bar{t}}$ on $\alpha_S(M_Z)$ found to be negligible
- assumed $m_t^{\text{pole}} = 173.2 \pm 1.4 \text{ GeV}$ (Tevatron average $\oplus 1 \text{ GeV}$ to account for difference between m_t^{pole} and m_t^{MC})

$$\alpha_S(M_Z) = 0.1151^{+0.0028}_{-0.0027} \quad (\text{NNPDF2.3})$$



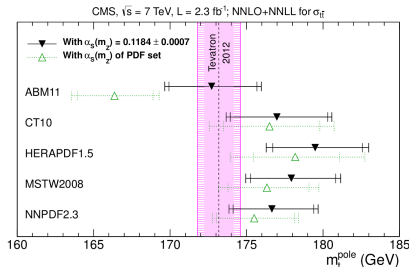
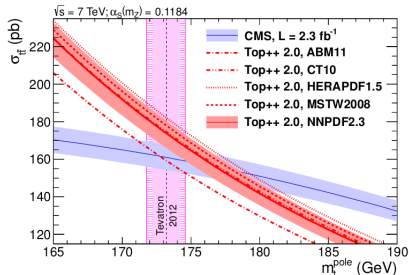
Phys. Lett. B 728 (2013) 496

- same procedure used to extract m_t^{pole}
- assumed world average strong coupling: $\alpha_S(M_Z) = 0.1184 \pm 0.0007$
- measured $\sigma_{t\bar{t}}$ depends on m_t^{MC} through acceptance corrections
- effect has to be taken into account

assumption: $m_t^{\text{pole}} = m_t^{\text{MC}} \pm 1 \text{ GeV}$

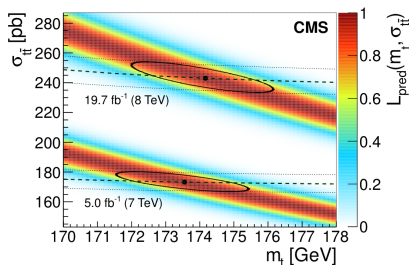
- additional uncertainty corresponding to 1 GeV added to measured $\sigma_{t\bar{t}}$

$$m_t^{\text{pole}} = 176.7^{+3.0}_{-2.8} \text{ GeV} \quad (\text{NNPDF2.3})$$



JHEP 08 (2016) 029

- simultaneous measurement of $\sigma_{t\bar{t}}$ at 7 and 8 TeV with template fit of final state distributions
- similar m_t^{pole} determination as in 7 TeV measurement
- m_t^{pole} determined separately from $\sigma_{t\bar{t}}$ at 7 and 8 TeV
- results combined taking correlations into account



	m_t [GeV]
NNPDF3.0	$173.8^{+1.7}_{-1.8}$
MMHT2014	$174.1^{+1.8}_{-2.0}$
CT14	$174.3^{+2.1}_{-2.2}$

	m_t [GeV]	
	7 TeV	8 TeV
NNPDF3.0	$173.5^{+1.9}_{-2.0}$	$174.2^{+2.0}_{-2.2}$
MMHT2014	$173.9^{+2.0}_{-2.1}$	$174.4^{+2.1}_{-2.3}$
CT14	$174.1^{+2.2}_{-2.4}$	$174.6^{+2.3}_{-2.5}$

- simultaneous fit of $\sigma_{t\bar{t}}$ and m_t^{MC}
- $\sigma_{t\bar{t}}$ determined at **optimal mass point**

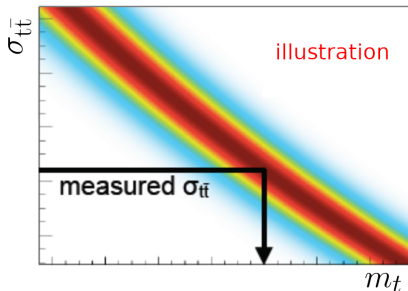
→ with this approach:

- dependence of $\sigma_{t\bar{t}}$ on m_t^{MC} mitigated
- uncertainty on $\sigma_{t\bar{t}}$ includes contribution from m_t^{MC}
- no assumption on relation between m_t^{MC} and m_t needs to be made

calculations of $\sigma_{t\bar{t}}$

- Hathor2.0 at NNLO precision
- several NNLO PDF sets considered
- $\overline{\text{MS}}$ scheme adopted for m_t
→ faster perturbative convergence
(see EPJC 74 (2014) 11 3167)
- soft gluon resummation not included

PRL 116 (2016) 16 162001



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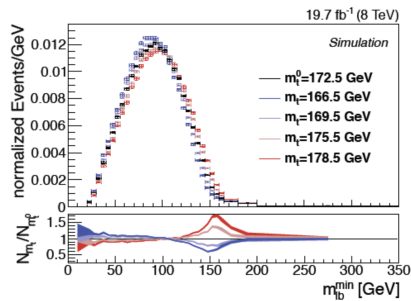
measurement results

$$\sigma_{t\bar{t}} = 815 \pm 2 \text{ (stat)} \pm 29 \text{ (syst)} \pm 20 \text{ (lum)} \text{ pb}$$

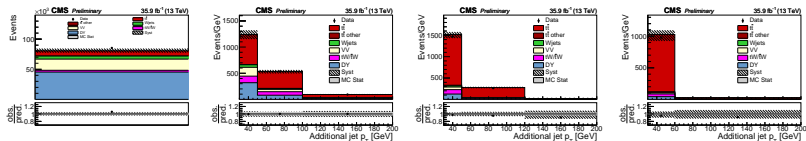
$$m_t^{\text{MC}} = 172.33 \pm 0.14 \text{ (stat)} \pm_{0.72}^{0.66} \text{ (syst)} \text{ GeV}$$

- same fit strategy as in $\sigma_{t\bar{t}}$ measurement presented before
- only $e^\mp\mu^\pm$ channel considered
- introduce dependence of templates on top mass
- $m_{\text{lb}}^{\text{min}}$ distribution used to constrain m_t^{MC}

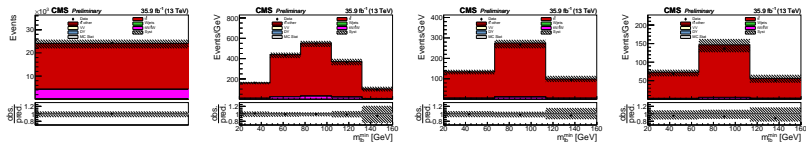
$m_{\text{lb}}^{\text{min}}$ = minimum invariant mass between reconstructed lepton and b-jet (sensitive to m_t^{MC} through end point of spectrum)



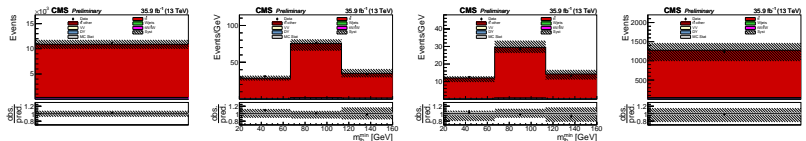
0 b-tags: 0,1,2,3 additional jets



1 b-tag: 0,1,2,3 additional jets



2 b-tags: 0,1,2,3 additional jets



total $t\bar{t}$ cross section

$$\sigma_{t\bar{t}} = 815 \pm 2 \text{ (stat)} \pm 29 \text{ (syst)} \pm 20 \text{ (lum)} \text{ pb}$$

top MC mass

$$m_t^{MC} = 172.33 \pm 0.14 \text{ (stat)} \pm_{0.72}^{0.66} \text{ (syst)} \text{ GeV}$$

main systematic uncertainties on $\sigma_{t\bar{t}}$

- integrated luminosity (2.5%)
- lepton identification (2.2%)

main systematic uncertainties on m_t^{MC}

- jet energy scale (570 MeV)
- statistics of simulation (360 MeV)

Name	Contribution [%]
Trigger	0.4
Lepton ID/isolation	2.2
Electron energy scale	0.2
Muon energy scale	0.2
Jet energy scale	0.7
Jet energy resolution	0.5
b tagging	0.3
Pileup	0.3
$t\bar{t}$ ME scale	0.5
$t\bar{t}$ W ME scale	0.7
DY ME scale	0.2
NLO generator	1.2
PDF	1.1
m_t^{MC}	0.4
Top quark p_T	0.5
ME/PS matching	0.2
UE tune	0.3
$t\bar{t}$ ISR scale	0.4
$t\bar{t}$ W ISR scale	0.4
$t\bar{t}$ FSR scale	1.1
$t\bar{t}$ W FSR scale	0.2
B-Fragmentation	1.0
B-hadron BF	0.2
Colour reconnection	0.4
DY background	0.8
$t\bar{t}$ W background	1.1
Diboson background	0.3
W+jets background	0.3
$t\bar{t}$ background	0.2
Statistical	0.2
Luminosity	2.5
MC Statistical	1.2
Total vis	4.2
$\sigma_{t\bar{t}}$ (13 TeV) vis	12.86 pb
$t\bar{t}$ ME scale (extr)	$\pm_{0.1}^{0.4}$
PDF (extr)	$\pm_{0.8}^{0.6}$
Top quark p_T (extr)	$\pm_{0.3}^{0.6}$
$t\bar{t}$ ISR scale (extr)	$\pm_{0.1}^{0.2}$
$t\bar{t}$ FSR scale (extr)	$\pm_{0.1}^{0.1}$
UE tune (extr)	$\pm_{0.1}^{0.1}$
m_t^{MC} (extr)	$\pm_{0.3}^{0.4}$
Total	$\pm_{1.2}^{1.2}$
$\sigma_{t\bar{t}}$ (13 TeV)	815 pb

total $t\bar{t}$ cross section

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top MC mass

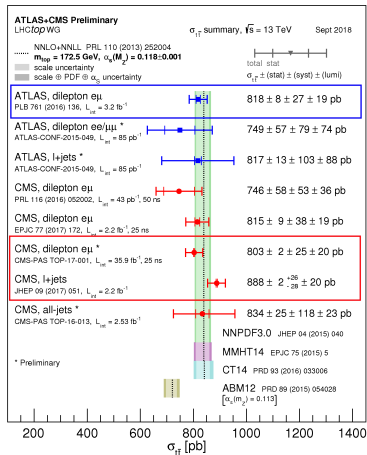
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Name	Contribution [GeV]
Trigger	0.02
Lepton ID/isolation	0.02
Electron energy scale	0.10
Muon energy scale	0.03
Jet energy scale	0.57
Jet energy resolution	0.09
b tagging	0.12
Pileup	0.09
$t\bar{t}$ ME scale	0.18
tW ME scale	0.02
DY ME scale	0.06
NLO generator	0.14
PDF	0.05
$\sigma_{t\bar{t}}$	0.09
Top quark p_T	0.04
ME/PS matching	0.16
UE tune	0.03
$t\bar{t}$ ISR scale	0.16
tW ISR scale	0.02
$t\bar{t}$ FSR scale	0.07
tW FSR scale	0.02
B-Fragmentation	0.11
B-hadron BF	0.07
Colour reconnection	0.17
DY background	0.24
tW background	0.13
Diboson background	0.02
W+jets background	0.04
$t\bar{t}$ background	0.02
Statistical	0.14
Total Stat+Syst	$\pm_{0.64}^{0.57}$
MC Statistical	0.36
Total	$\pm_{0.73}^{0.68}$
m_t^{MC}	172.33

total $t\bar{t}$ cross section

$$\sigma_{t\bar{t}} = 815 \pm 2 \text{ (stat)} \pm 29 \text{ (syst)} \pm 20 \text{ (lum)} \text{ pb}$$

top MC mass

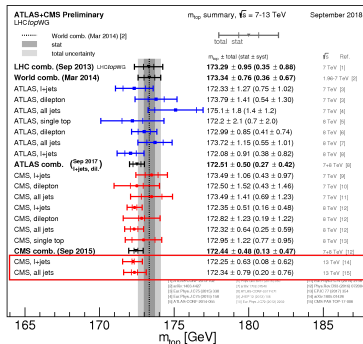
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parameters determined from data-theory χ^2 using xFitter framework

α_S and m_t cannot be determined simultaneously $\Rightarrow m_t$ fixed to native value of PDF

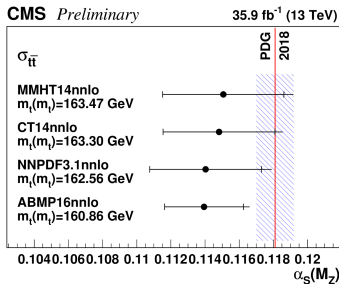
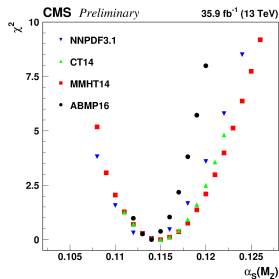
uncertainties

- experimental: from $\sigma_{t\bar{t}}$ measurement
- PDF: from eigenvectors
- independent μ_r, μ_f variations by factor 2

results

- challenging precision on $\alpha_S(M_Z)$, most precise from hadronic processes to date
- better precision with ABMP16

$$\alpha_S(M_Z) = 0.1139^{+0.0027}_{-0.0023} \quad (\text{ABMP16})$$



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parameters determined from data-theory χ^2 using xFitter framework

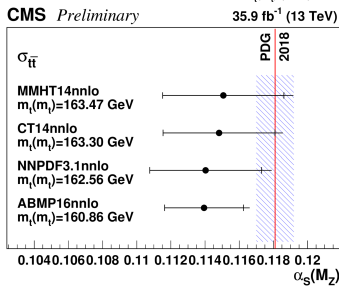
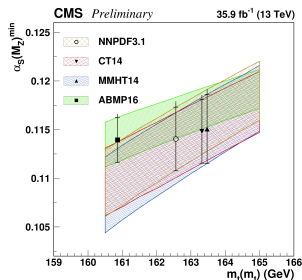
α_S and m_t cannot be determined simultaneously $\Rightarrow m_t$ fixed to native value of PDF

uncertainties

- experimental: from $\sigma_{t\bar{t}}$ measurement
- PDF: from eigenvectors
- independent μ_r, μ_f variations by factor 2

results

- dependence of extracted α_S vs m_t investigated \rightarrow linear
- somehow flatter in case of ABMP16



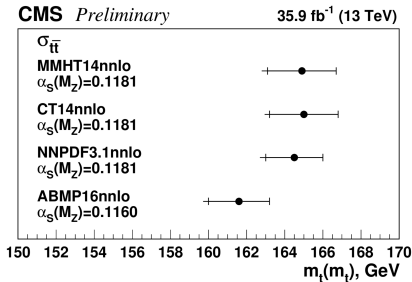
CMS-PAS-TOP-17-001

- same procedure used to extract top mass in $\overline{\text{MS}}$ scheme, $m_t(m_t)$
- $\alpha_S(M_Z)$ fixed at native values of PDF

results

- first consistent determination of $m_t(m_t)$ (uncertainty $\simeq 1.2\%$)
- lower m_t result with ABMP16 due to lower $\alpha_S(M_Z)$ in PDF determination

$$m_t(m_t) = 161.6^{+1.6}_{-1.9} \text{ GeV (ABMP16)}$$



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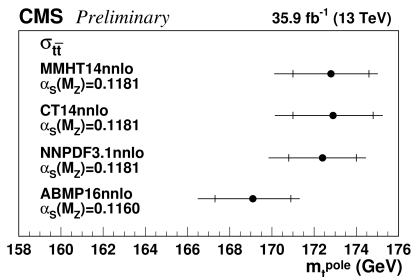
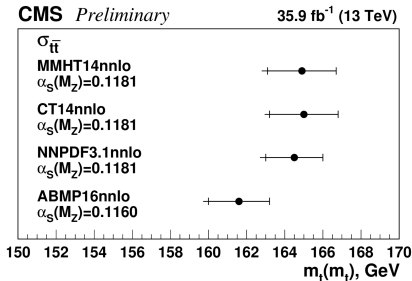
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pole mass m_t^{pole}

- missing soft gluon resummation \Rightarrow for **illustration** purposes only
- results consistent with previous measurements



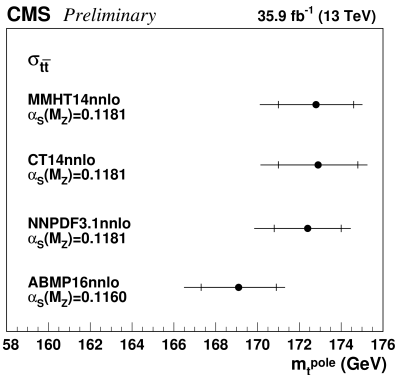
preliminary CMS results at 13 TeV presented

- measurement of $\sigma_{t\bar{t}}$ in all di-lepton channels at fixed mass point
- simultaneous measurement of $\sigma_{t\bar{t}}$ and m_t^{MC}
- extraction of α_S and $m_t(m_t)$ from inclusive $\sigma_{t\bar{t}}$ using Hathor calculation with top quark mass in $\overline{\text{MS}}$ scheme
- extraction of m_t^{pole} for illustration (will be performed with Top++ for final publication)

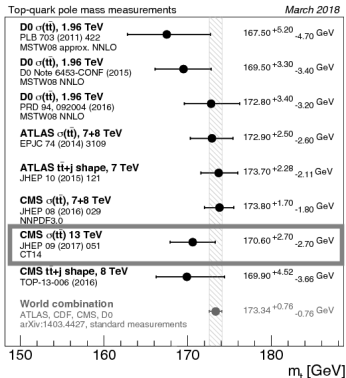
Thank you for your attention



determination of m_t^{pole} from $\sigma_{t\bar{t}}$ at 13 TeV



$$m_t^{\text{pole}} = 172.9^{+2.4}_{-2.8} \text{ GeV} \quad (\text{CT14})$$



- results consistent with previous measurements at Tevatron and LHC

top quark mass in $\overline{\text{MS}}$ scheme

PDF set (NNLO)	$\alpha_S^{\text{min}}(M_Z)$
ABMP16	0.1139 ± 0.0023 (fit + PDF) $^{+0.0014}_{-0.0001}$ (scale)
NNPDF3.1	0.1140 ± 0.0033 (fit + PDF) $^{+0.0021}_{-0.0002}$ (scale)
CT14	0.1148 ± 0.0032 (fit + PDF) $^{+0.0018}_{-0.0002}$ (scale)
MMHT14	0.1151 ± 0.0035 (fit + PDF) $^{+0.0020}_{-0.0002}$ (scale)

top quark mass in on-shell scheme

PDF set (NNLO)	$\alpha_S^{\text{min}}(M_Z)$
ABMP16	0.1164 ± 0.0021 (fit + PDF) $^{+0.0024}_{-0.0014}$ (scale)
NNPDF3.1	0.1184 ± 0.0027 (fit + PDF) $^{+0.0037}_{-0.0021}$ (scale)
CT14	0.1186 ± 0.0028 (fit + PDF) $^{+0.0034}_{-0.0019}$ (scale)
MMHT14	0.1205 ± 0.0029 (fit + PDF) $^{+0.0037}_{-0.0021}$ (scale)

top quark $\overline{\text{MS}}$ mass

PDF set (NNLO)	$m_t(m_t)$ [GeV]
ABMP16	161.6 ± 1.6 (fit + PDF + α_S) $^{+0.1}_{-1.0}$ (scale)
NNPDF3.1	164.5 ± 1.5 (fit + PDF + α_S) $^{+0.1}_{-1.0}$ (scale)
CT14	165.0 ± 1.7 (fit + PDF) ± 0.6 (α_S) $^{+0.1}_{-1.0}$ (scale)
MMHT14	164.9 ± 1.7 (fit + PDF) ± 0.5 (α_S) $^{+0.1}_{-1.1}$ (scale)

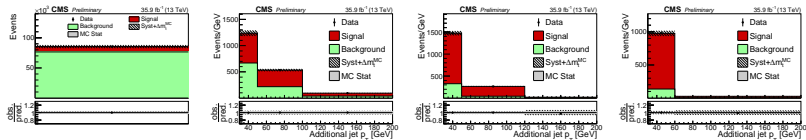
top quark pole mass

PDF set (NNLO)	m_t^{pole} [GeV]
ABMP16	169.1 ± 1.8 (fit + PDF + α_S) $^{+1.3}_{-1.9}$ (scale)
NNPDF3.1	172.4 ± 1.6 (fit + PDF + α_S) $^{+1.3}_{-2.0}$ (scale)
CT14	172.9 ± 1.8 (fit + PDF) ± 0.7 (α_S) $^{+1.4}_{-2.0}$ (scale)
MMHT14	172.8 ± 1.7 (fit + PDF) ± 0.6 (α_S) $^{+1.3}_{-2.0}$ (scale)

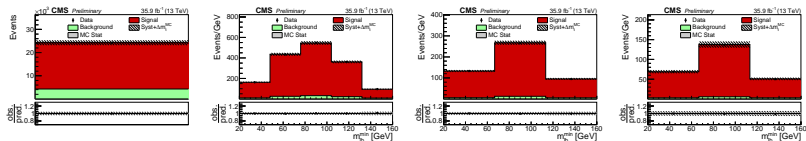
CMS-PAS-TOP-17-001

PDF set (NNLO)	ABMP16	NNPDF3.1	CT14	MMHT14
m_t^{pole}	170.37 GeV	172.5 GeV	173.3 GeV	174.2 GeV
RunDec conversion	3 loops	2 loops	2 loops	3 loops
$m_t(m_t)$	160.86 GeV	162.56 GeV	163.30 GeV	163.47 GeV
$\alpha_S(m_Z)$	0.116	0.118	0.118	0.118
α_S range	0.112–0.120	0.108–0.124	0.111–0.123	0.108–0.128

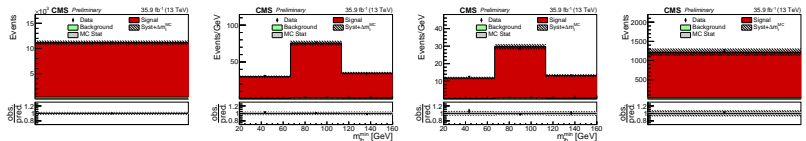
0 b-tags: 0,1,2,3 additional jets

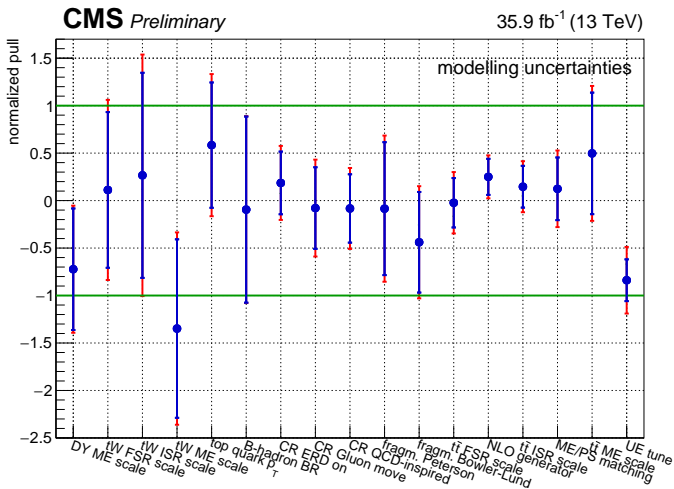


1 b-tag: 0,1,2,3 additional jets

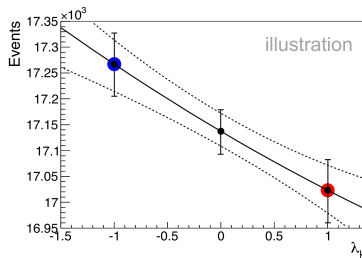
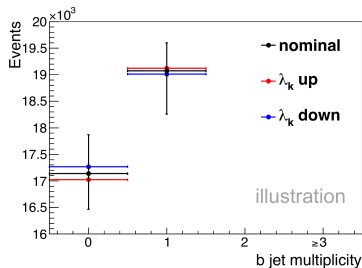


2 b-tags: 0,1,2,3 additional jets





- templates corresponding to systematic variations are derived by varying parameters in analysis within their prior uncertainty or by using alternative samples
- in each bin, the dependency on the nuisance parameters is modelled with a second order polynomial
- if the variation is one-sided (comparison between two alternative models) a linear dependence is assumed
- nominal, up and down variations correspond to $\lambda_k = 0, +1$ and -1 respectively



general idea: effect of systematics on fit distributions is modelled with templates obtained either

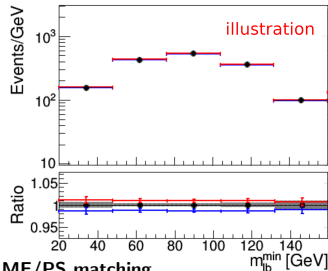
- by re-weighting events (e.g. ME scale)
- with alternative MC samples (e.g. ME/PS matching)

- 1 **re-weighting:** stats of nominal templates and varied templates are fully correlated
- 2 **alternative samples:** fully uncorrelated

procedure

- produce **toy templates** where each bin is Poisson-smearred according to its MC stats
- fully consistent treatment of correlations between statistical uncertainties in the MC
 - throw individual toys for nominal and alternative samples and re-derive template dependencies
- **simultaneously for all the nuisance parameters**
- repeat fit to data points and assess effect on results (mass, cross section) and nuisances
- **estimates the impact of any possible MC fluctuation**

ME scale



ME/PS matching

